1. Outsourcing register template

Internal reference number

Terms of contract have been

Name Country of the service provider's headquarters less related address less related and respond to the service provider less related and related annual budget cost the service provider less related annual budget cost the service provider less

		Data point	Column	Reference	Input type	Description
		Internal reference number	010	EBA/GL/2019/02 para. 54a	Alphanumerical (A/N)	Determined by the institution
		LEI code	020	EBA/GL/2019/02 para. 55a	A/N	20-character, alpha-numeric code based on the ISO 17442 standard. In case multiple supervised entities are signing the contract in the name of all recipients of the services, please add an additional line entry for each institution.
For all outsourcing arrangements	Entity signing the contract					Legal name of the supervised entity signing the contract that represents the entities that make use of the outsourcing. In case multiple supervised entities are signing the contract in the name of all recipients of the services, please add an additional line entry for each
	Entity signing the contract	Name	030	EBA/GL/2019/02 para. 55a	A/N	institution.
		Country	040	EBA/GL/2019/02 para. 55a	A/N	Country in which the entity is located with alpha-2 country codes to be used. In case multiple supervised entities are signing the contribution in the name of all recipients of the services, please add an additional line entry for each institution.
	Supervised entity that makes use of the		050	EBA/GL/2019/02 para. 55a	A/N	20-character, alpha-numeric code based on the ISO 17442 standard. In case multiple supervised entities make use of the outsourced
		LEI code	030	EBA/GL/2019/02 para. 55a	A/N	servces, please add an additional line entry for each institution. Name of the supervised entity that makes use of the outsourcing. In case multiple supervised entities make use of the outsourced
		Name	060	EBA/GL/2019/02 para. 55a	A/N	servces, please add an additional line entry for each institution. Country in which the entity is located with alpha-2 country codes to be used. In case multiple supervised entities make use of the
		Country	070	EBA/GL/2019/02 para. 55a	AA	outsourced servces, please add an additional line entry for each institution.
		LEI code	080	EBA/GL/2019/02 para. 54e	A/N	20-character, alpha-numeric code based on the ISO 17442 standard. In case multiple service providers are included in the contract, please add an additional line entry for each provider.
		Corporate registration number	090	EBA/GL/2019/02 para. 54e	A/N	Corporate registration number unique to the service provider. In case multiple service providers are included in the contract, please a an additional line entry for each provider.
						Name of the service provider. In case multiple service providers are included in the contract, please add an additional line entry for ea
		Name	100	EBA/GL/2019/02 para. 54e	A/N	provider.
		Country of the service provider's headquarters	110	EBA/GL/2019/02 para. 54e	AA	Country in which the service provider is located with alpha-2 country codes to be used. In case multiple service providers are included the contract, please add an additional line entry for each provider.
	Service provider details					Registered address of the service provider as stipulated in the contractual agreement. In case multiple service providers are included i
		Registered address	120	EBA/GL/2019/02 para. 54e	A/N	the contract, please add an additional line entry for each provider.
		Intragroup outsourcing	130	EBA/GL/2019/02 para. 43, 55b, 62, and 63	Predefined value (see description)	"Intragoup" for an arrangement in which the service provider belongs to the same corporate group as the outsourcing entity that is supervised by the SSM; in case the service provider sub-outsources the entire service to a provider outside of the corporate group, the initial outsourcing should not be considered an intra-group outsourcing. "IPS" for an arrangement within their institutional protection scheme including entities fully owned individually by other members of the IPS or collectively by institutions within the institutional protection scheme. "Outside" for arrangements with entities outside of the corporate group and not part of the institution's IPS. The name of the supervisory/competent authority in case the service provider is a supervised entity. If the service provider is not a
		Name of the supervisory authority of the service provider	140		A/N	supervised entity, please fill with "N/A". In case multiple service providers are included in the contract, please add an additional line entry for each provider.
						Name of the ultimate parent of the service provider. If the service provider is the ultimate parent, please fill with "N/A". In case multip
		Name of the parent company of the service provider	150	EBA/GL/2019/02 para. 54e	A/N	service providers are included in the contract, please add an additional line entry for each provider. Country in which the parent's headquarters are located with alpha-2 country codes to be used. If the service provider is the ultimate
		Country of the parent company's headquarters	160		^^	parent, please fill with "N/A". In case multiple service providers are included in the contract, please add an additional line entry for each provider.
		Start date of the contract	170	EBA/GL/2019/02 para. 54b	Date	Date (dd/mm/yyyy) as stipulated in the contractual agreement.
		Date of next contract renewal	180	EBA/GL/2019/02 para. 54b	Date	Date (dd/mm/yyyy) of contract renewal as stipulated in the contractual agreement or as planned by the parties. If the contract is indefinite, please fill with "N/A".
		End date of the contract Notice period for the institution	190 200	EBA/GL/2019/02 para. 54b EBA/GL/2019/02 para. 54b	Date Numerical	Date (dd/mm/yyyy) as stipulated in the contractual agreement. If the contract is indefinite, please fill with "N/A". Notice period for terminating the contract by the outsourcing entity/entities in days.
		Notice period for service provider	210	EBA/GL/2019/02 para. 54b	Numerical	Notice period for terminating the contract by the service provider(s) in days.
		Category of the outsourced function Description of the outsourced function	230	EBA/GL/2019/02 para. 54d EBA/GL/2019/02 para. 54c	A/N A/N	Category of the outsourced function assigned by the institution that reflects the nature of the function A brief (maximum 300 characters) description of the outsourced function, including the data that are outsourced
		Storage or processing of confidential, personal or otherwise sensitive data by the service provider	240	EBA/GL/2019/02 para. 54c, 84	Predefined value (see description)	Selection of the following criteria (multiple selections possible): "Confidential data", "Personal data", "Otherwise sensitive data", or "N/A". In case multiple critera are applicable, please delimit with a semi-colon.
		Physical location(s) of stored and/or processed data by service provider	250	EBA/GL/2019/02 para. 54f	AA	Country/counries in which the data is stored and/or processed with alpha-2 country codes to be used. In case data is stored/processe in multiple countries, please delimit with a semi-colon. If no data is stored and/or processed, please fill with "N/A".
			260			Country/counries from which the service is provided with alpha-2 country codes to be used. In case services are provided from multip
	Outsourced function details	Country/countries of provision of services	260	EBA/GL/2019/02 para. 54f	AA	countries, please delimit with a semi-colon. Dummy variable indicating if the outsourced function(s) is/are critical or important with "y" for critical/important functions and "n" for
		Critical or Important	270	EBA/GL/2019/02 para. 54g	[y, n]	non-critical/non-important functions. Selection of the following criteria (multiple selections possible): "a defect or failure in its performance would materially impair the
						continuing compliance with the conditions of their authorisation or its other obligations under Directive 2013/36/EU, Regulation (EU) 575/2013, Directive 2014/65/EU, Directive (EU) 2015/2366 and Directive 2009/110/EC and their regulatory obligations", "a defect or failure in its performance would materially impair the financial performance", "a defect or failure in its performance would materially
						impair the soundness or continuity of banking and payment services and activities", "operational tasks of internal control functions are outsourced", "outsourced banking activities or payment services would require authorisation by a competent authority" or "N/A". In
		Reasons for criticality or importance	280	EBA/GL/2019/02 para. 54g	Predefined value (see description)	case multiple critera are applicable, please delimit with a semi-colon.
		Last date of assessment of criticality or importance	290	EBA/GL/2019/02 para. 54i	Date	Date (dd/mm/yyyy) of the last assessment of criticality or importance. Selection of the following criteria (multiple selections possible): "Software-as-a-Service", "Infrastructure-as-a-Service", "Platform-as-a-
		Cloud service model(s)	300	EBA/GL/2019/02 para. 54h	Predefined value (see description)	Service" or "N/A". In case multiple critera are applicable, please delimit with a semi-colon. Selection of the following criteria (multiple selections possible): "Public cloud", "Private cloud", "Hybrid cloud", "Community cloud" or
		Cloud deployment model(s)	310	EBA/GL/2019/02 para. 54h	Predefined value (see description)	"N/A". In case multiple critera are applicable, please delimit with a semi-colon.
		Specific nature of the data to be held	320	EBA/GL/2019/02 para. 54c, 54h	A/N	Description of the specific nature of the data to be stored/processed. If no data is stored and/or processed, please fill with "N/A". "y" if the contract has been reviewed and the overall compliance of the contractual arrangement with existing laws, regulations,
		Terms of contract have been reviewed and are in compliance with EBA/GL/2019/02 and relevant	330	ERA/GL/2010/02 novo 15	[v n]	competent authorities' policy stances, guidance or similar acts and EBA guidelines has been assessed and determined (e.g. by the legal
		national laws Estimated annual budget cost	340	EBA/GL/2019/02 para. 15 EBA/GL/2019/02 para. 55k	[y, n] Numerical	department of the outsourcing institution). "n" otherwise. Estimated budget cost of the outsourcing arrangement for the reporting period in EUR.
		Date of last risk assessment Summary of the main results and main risks identified	350 360	EBA/GL/2019/02 para. 55c EBA/GL/2019/02 para. 55c	Date A/N	Date (dd/mm/yyyy) of the last risk assessment. Brief (maximum 300 characters) summary of the main results (including the main risks identified) of the risk assessment.
		· ·		·		The individual or decision-making body (e.g. the management body) in the institution that approved the outsourcing arrangement
		Approving decision-making body Governing law of outsourcing agreement	370 380	EBA/GL/2019/02 para. 55d EBA/GL/2019/02 para. 55e	A/N A/N	(maximum 300 characters). The governing law of the outsourcing arrangement (maximum 300 characters).
		Date of last audit Date of next audit	390 400	EBA/GL/2019/02 para. 55f EBA/GL/2019/02 para. 55f	Date Date	The date (dd/mm/yyyy) of the last audit (if applicable). The planned date (dd/mm/yyyy) of the next audit (if applicable).
Face the state of		Possibility of sub-outsourcing of material parts of the outsourced function(s) included in contract	410	EBA/GL/2019/02 para. 76, 77	[y, n]	"y" if the contractual arrangement allows for sub-outsourcing of material parts of the outsourced function(s). "n" otherwise.
_		Name(s) of contractors for sub-outsourcing of material parts of the outsourced function(s)	420	EBA/GL/2019/02 para. 55g	A/N	Name of contractors for sub-outsourcing of material parts of the outsourced functions. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon.
For critical and important						Country/counries of the headquarters of the contractors for sub-outsourcing of material parts with alpha-2 country codes to be used.
important outsourcing	Due diligence, risk	Country/countries of handaugestors of contractors for sub-auto-auto-action of restartal and	420	EDA/GL/2010/02 mars EEs	AA	case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon.
important	Due diligence, risk	Country/countries of headquarters of contractors for sub-outsourcing of material parts	430	EBA/GL/2019/02 para. 55g		Country/counries where the services by contractors for sub-outsourcing of material parts are performed with alpha-2 country codes
important outsourcing	Due diligence, risk assessment and additional	Country/countries of headquarters of contractors for sub-outsourcing of material parts Country/countries where services are performed by contractors for sub-outsourcing of material parts	430 440	EBA/GL/2019/02 para. 55g EBA/GL/2019/02 para. 55g	AA	Country/counries where the services by contractors for sub-outsourcing of material parts are performed with alpha-2 country codes to be used. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon.
important outsourcing	Due diligence, risk assessment and additional information	Country/countries where services are performed by contractors for sub-outsourcing of material parts Physical location of stored and/or processed data by contractors for sub-outsourcing of material parts Storage or processing of confidential, personal or otherwise sensitive data by contractor for sub-			AA	Country/counries where the services by contractors for sub-outsourcing of material parts are performed with alpha-2 country codes to be used. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon. Country/counries where data is stored/processed by contractors for sub-outsourcing of material parts with alpha-2 country codes to used. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon. Selection of the following criteria (multiple selections possible): "Confidential data", "Personal data", "Otherwise sensitive data", or
important outsourcing	Due diligence, risk assessment and additional information	Country/countries where services are performed by contractors for sub-outsourcing of material parts Physical location of stored and/or processed data by contractors for sub-outsourcing of material parts Storage or processing of confidential, personal or otherwise sensitive data by contractor for sub-outsourcing of material parts Outcome of the assessment of service provider's substitutability	440 450 460 470	EBA/GL/2019/02 para. 55g EBA/GL/2019/02 para. 55g EBA/GL/2019/02 para. 55h	AA Predefined value (see description) Predefined value (see description)	Country/counries where the services by contractors for sub-outsourcing of material parts are performed with alpha-2 country codes to be used. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon. Country/counries where data is stored/processed by contractors for sub-outsourcing of material parts with alpha-2 country codes to used. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon. Selection of the following criteria (multiple selections possible): "Confidential data", "Personal data", "Otherwise sensitive data", or "N/A". In case multiple critera are applicable, please delimit with a semi-colon. Selection of one of the following criteria: "easy", "difficult", "impossible"
important outsourcing	Due diligence, risk assessment and additional information	Country/countries where services are performed by contractors for sub-outsourcing of material parts Physical location of stored and/or processed data by contractors for sub-outsourcing of material parts Storage or processing of confidential, personal or otherwise sensitive data by contractor for sub-outsourcing of material parts	440 450 460	EBA/GL/2019/02 para. 55g EBA/GL/2019/02 para. 55g	AA Predefined value (see description)	Country/counries where the services by contractors for sub-outsourcing of material parts are performed with alpha-2 country codes to be used. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon. Country/counries where data is stored/processed by contractors for sub-outsourcing of material parts with alpha-2 country codes to used. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon. Selection of the following criteria (multiple selections possible): "Confidential data", "Personal data", "Otherwise sensitive data", or "N/A". In case multiple critera are applicable, please delimit with a semi-colon.
important outsourcing	Due diligence, risk assessment and additional information	Country/countries where services are performed by contractors for sub-outsourcing of material parts Physical location of stored and/or processed data by contractors for sub-outsourcing of material parts Storage or processing of confidential, personal or otherwise sensitive data by contractor for sub-outsourcing of material parts Outcome of the assessment of service provider's substitutability Possibility of reintegration of the outsourced function	440 450 460 470 480	EBA/GL/2019/02 para. 55g EBA/GL/2019/02 para. 55g EBA/GL/2019/02 para. 55h EBA/GL/2019/02 para. 55h	AA Predefined value (see description) Predefined value (see description) Predefined value (see description)	Country/counries where the services by contractors for sub-outsourcing of material parts are performed with alpha-2 country codes to be used. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon. Country/counries where data is stored/processed by contractors for sub-outsourcing of material parts with alpha-2 country codes to used. In case multiple contractors provide sub-outsourcing services, please delimit with a semi-colon. Selection of the following criteria (multiple selections possible): "Confidential data", "Personal data", "Otherwise sensitive data", or "N/A". In case multiple critera are applicable, please delimit with a semi-colon. Selection of one of the following criteria: "easy", "difficult", "impossible" Selection of one of the following criteria: "easy", "difficult", "impossible"